

Questions and Answers

Q #	Questions	RFQ Reference (Document & Page-Section-Item)	Answers
1	<p>Please provide most recent copies of the audit reports for each deliverable task, specifically grants financial statements for:</p> <ul style="list-style-type: none"> a. Adult Drug Court (ADC), b. Family Treatment Court (FTC), and c. Veteran Treatment Court (VTC). 		No prior audit reports as this is the first time the Court is requesting proposals from qualified certified public accountant or firm to audit its grant programs.
2	<p>What were the auditor’s hours and fees to complete each deliverable under the scope of work in the most recent period?</p>		No record of hours and fees for previous auditors as this is the first time the Court is requesting proposals from qualified certified public accountant or firm to audit its grant programs.
3	<p>When does the audit work generally occur, including both interim and final fieldwork as applicable?</p>		The audit must occur within 9 months of the Court’s fiscal yearend. The report of the auditor’s findings must be submitted to the Federal Audit Clearinghouse 30 days after receipt, or nine months after the end of the fiscal year, whichever is sooner.
4	<p>What are the deadlines for each deliverable report?</p>		No later than 8 months after the Court’s fiscal year end, which is June 30. The report of the auditor’s findings must be submitted to the Finance Director no later than February 28, 2022 for fiscal year 2021-2022.

Questions and Answers

Q #	Questions	RFQ Reference (Document & Page-Section-Item)	Answers								
5	Do you anticipate the audit to be conducted remotely or do you prefer to have auditors on site?		The audit can be conducted remotely or on site depending on the audit approach and the transmission of financial data process and confidentiality.								
6	How much were the total expenditures for each deliverable last year? Do you anticipate any significant changes?		<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Court Title</th> <th style="text-align: right;">FY 19-20 Total Expenditures</th> </tr> </thead> <tbody> <tr> <td>Adult Drug Court</td> <td style="text-align: right;">\$409,312.33</td> </tr> <tr> <td>Family Treatment Court</td> <td style="text-align: right;">\$435,199.37</td> </tr> <tr> <td>Veteran Treatment Court</td> <td style="text-align: right;">\$118,739.29</td> </tr> </tbody> </table> <p>The total of expenditures is subject to change due to funding approval, budget modification and carryover. At this time we do not anticipate a significant change in the expenditure level.</p>	Court Title	FY 19-20 Total Expenditures	Adult Drug Court	\$409,312.33	Family Treatment Court	\$435,199.37	Veteran Treatment Court	\$118,739.29
Court Title	FY 19-20 Total Expenditures										
Adult Drug Court	\$409,312.33										
Family Treatment Court	\$435,199.37										
Veteran Treatment Court	\$118,739.29										
7	Do you anticipate any future GASB Statements to have an impact on your financial statements?		None.								

Questions and Answers

Q #	Questions	RFQ Reference (Document & Page-Section-Item)	Answers
8	Are there any other major changes in the fiscal years under audit that would affect the operations of the Court?		None that is known.
9	How did you measure the quality of the audit performed?		No prior audit reports as this is the first time the Court is requesting proposals from qualified certified public accountant or firm to audit its grant programs. However, the audit must be performed in accordance with the Generally Accepted Government Auditing Standards (GAGAS), federal regulations, and the funder's audit guide, if available.
10	What efficiencies would you like to see in the audits?		This is the Court's first Single Audit and using our first audit experience, we would like to develop a plan for future annual Single Audits.
11	What ERP system does the Court use to produce the grants financial statements?		Phoenix SAP
12	How does the auditor access financial information for the audit? For example, does the auditor have read-only access to the Court's system or will Court personnel provide system reports (if so, please specify format)?		Court personnel can provide supporting documents as needed. The proposer will need to provide the audit approach for the transmission of financial data process and confidentiality.